

# YM理论

2023年4月27日 15:52

## 1. Lie Algebra & Lie Group.

Basic concepts:

Lie group is a group with infinite elements, and also a differentiable manifold. Any of these elements can be written as:

$$U = \exp[i\theta^a T^a] \cdot 1. \quad \begin{array}{l} \leftarrow 1 \text{ is identity.} \\ \uparrow \\ \theta^a \text{ are parameters} \end{array} \quad T^a \text{ are generators of this Lie group.}$$

Generators,  $T^a$ , form a Lie Algebra by defining multiplication:  $[, ]: \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g} \quad [T^a, T^b] = if^{abc}T^c$ .

$f^{abc}$  are known as "structure constants."  $f^{abc} = 0 \Leftrightarrow$  Abelian Lie group, while  $f^{abc} \neq 0 \Leftrightarrow$  Non-Abelian Lie group.

" $[, ]$ " is called Lie bracket. Sometimes we call it "commutator", implying:  $[A, B] = AB - BA$ .

Hint:  $[A, B] = AB - BA$  is a well-defined group element, while  $AB$  or  $BA$  is not.

Lie bracket seems antisymmetric, so Jacobi identity:  $[A, [B, C]] + [B, [C, A]] + [C, [A, B]] = 0$ .

Representation:

ideal: an ideal of Lie algebra  $\mathfrak{g}$  is a subalgebra  $\mathfrak{I} \subset \mathfrak{g}$ , satisfying:  $\forall i \in \mathfrak{I}, g \in \mathfrak{g}, [g, i] \in \mathfrak{I}$ .

simple: if a Lie algebra has no non-trivial ideals, then it's simple. e.g.  $\mathfrak{su}(N), \mathfrak{so}(N)$  are simple.

semi-simple: direct sum of several simple algebras. e.g. the standard model algebra  $\mathfrak{su}(3) \oplus \mathfrak{su}(2) \oplus \mathfrak{u}(1)$  is semi-simple.

fundamental repr: the smallest non-trivial repr. its Hermitian conjugate trans under Anti-fundamental repr.

for  $SU(N)$ ,  $N \times N$  Hermitian matrices with  $\det = 1$ .

$$\alpha^a \in \mathbb{R}, \quad \phi_i \rightarrow \phi_i + i\alpha^a (T^a)_{ij} \phi_j$$

$$\phi_i^* \rightarrow \phi_i^* + i\alpha^a (T^a)_{ij} \phi_j^*$$

$$= \phi_i^* - i\alpha^a (T^a)_{ij} \phi_j^*$$

H.C. =  $*$  + T.

e.g.  $SU(2)$ ,  $T^a = \frac{\sigma^a}{2}$  (Pauli Matrices),  $f^{abc} = \epsilon^{abc}$ .

$SU(3)$ ,  $T^a = \frac{\lambda^a}{2}$  (Gell-Mann Matrices),  $f^{abc} \dots$

What's the normalization relation?

$$\downarrow$$

$$f^{acd} f^{bcd} = N \delta^{ab} \implies \text{tr}(T^a T^b) = \frac{1}{2} \delta^{ab}$$

adjoint repr: acts on the vector spaces spanned by generators

since  $SU(N)$  has  $N^2 - 1$  generators, adjoint repr. gives  $(N^2 - 1) \times (N^2 - 1)$  matrices.

for  $\mathfrak{su}(2)$ , we still have  $f^{abc} = \epsilon^{abc}$ ,  $[T_{adj}^a, T_{adj}^b] = if^{abc} T_{adj}^c$ .

Casimir Operator: suppose  $R = \text{adj}$  or  $R = \text{fund}$ ,  $T_R^a$  is a repr of group elements, Casimir operator  $C_R$  (under a certain repr.)

is an operator that commutes with all group elements. Here we have Schur's Lemma:

Schur's Lemma: a group element which commutes with all other elements in any irreducible repr. must be proportional to identity  $I$ .

Thus, we may guess a Casimir operator  $C_R(R)$  depending on explicit repr. (called quadratic Casimir).

$$T_R^a T_R^a = C_R(R) I.$$

check:  $[T_R^a T_R^a, T_R^b] = T_R^a [T_R^a, T_R^b] + [T_R^a, T_R^b] T_R^a$

$$= T_R^a if^{abc} T_R^c + if^{abc} T_R^c T_R^a$$

$$= if^{abc} f T_R^c T_R^a. \quad (\text{a. c. sym in } T_R^a, T_R^a \text{ while anti-sym in } f^{abc})$$

$$= 0$$

Now we generally consider the identity:  $\text{tr}(T_R^a T_R^b) = T(R) \delta^{ab}$ ,  $T(R)$  is called "index" of the repr.

$$\begin{cases} T(\text{adj}) = N \\ T(\text{fund}) = \frac{1}{2} \end{cases}$$

let  $a=b$ , sum over  $a$ :

$$C_R(R): d(R) = T(R) d(\mathfrak{g})$$

diag element dimension      also = number of generators.

for  $R = \text{adj}$ ,  $C_2(\text{adj}) = \frac{T(\text{adj}) d(\mathfrak{g})}{d(\text{adj})} = \frac{N(N^2-1)}{N^2-1} = N$ ,  $\hat{=} C_A \implies \text{tr}(T^a T^b) = T_F \delta^{ab}$

$R = \text{fund}$ ,  $C_2(\text{fund}) = \frac{T(\text{fund}) d(\mathfrak{g})}{d(\text{fund})} = \frac{\frac{1}{2}(N^2-1)}{N} = \frac{N^2-1}{2N}$ ,  $\hat{=} C_F \implies f^{acd} f^{bcd} = C_A \delta^{ab}$

and:  $(T^a T^a)_{ij} = C_F \delta_{ij}$ .

This 3 equations give the most important attributes of  $SU(N)$  and its repr.

Also, Fierz Identity:  $T_{ij}^a T_{kl}^a = \frac{1}{2} (\delta_{ik} \delta_{jl} - \frac{1}{N} \delta_{ij} \delta_{kl})$ .

usually, we use fundamental repr. for calculation.

## 2. Gauge invariance.

In gauge theory, a local gauge trans allows scalar field  $\phi(x) \rightarrow e^{i\alpha(x)}\phi(x)$ , with theory itself invariant.

thus:  $\phi(y) - \phi(x) \rightarrow e^{i\alpha(y)}\phi(y) - e^{i\alpha(x)}\phi(x)$ . However, when we try to define derivatives, we get:

$$\partial_\mu \phi(x) \rightarrow \partial'_\mu \phi(x) = \lim_{y \rightarrow x} \frac{e^{i\alpha(y)}\phi(y) - e^{i\alpha(x)}\phi(x)}{y-x} = ? \text{ for } \alpha(y) \text{ is arbitrary function.}$$

Here we define a bi-local field:  $W(x,y)$ , and let it trans by: 
$$\begin{cases} \phi(x) \rightarrow e^{i\alpha(x)}\phi(x) \\ W(x,y) \rightarrow e^{-i\alpha(x)}W(x,y)e^{-i\alpha(y)} \end{cases}$$

Then we have  $W(x,y)\phi(y) - \phi(x) \rightarrow e^{i\alpha(x)}W(x,y)e^{-i\alpha(y)}e^{i\alpha(y)}\phi(y) - e^{i\alpha(x)}\phi(x) = e^{i\alpha(x)}[W(x,y)\phi(y) - \phi(x)]$ .

$W(x,y)\phi(y) - \phi(x)$  act as a scalar field under gauge trans. and also,  $W(x,y)$  seems like a pull-back.

We can define derivatives:  $D_\mu \phi(x) = \lim_{\delta x \rightarrow 0} \frac{W(x, x+\delta x)\phi(x+\delta x) - \phi(x)}{\delta x^\mu}$  ( $D_\mu \phi(x) \rightarrow e^{i\alpha(x)}D_\mu \phi(x)$ ).

Set  $W(x,x)=1$ , and expand it for infinitesimal  $\delta x$ :  $W(x, x+\delta x) = 1 - ie\delta x^\mu A_\mu + O(\delta x^2)$ ,  $e$  is an arbitrary constant.

$$\begin{aligned} W(x, x+\delta x) &\rightarrow e^{i\alpha(x)}W(x, x+\delta x)e^{-i\alpha(x+\delta x)} = (1+i\alpha(x))(1-ie\delta x^\mu A_\mu)(1-i\alpha(x+\delta x)) \\ &= (1+i\alpha(x))(1-ie\delta x^\mu A_\mu)(1-i\alpha(x)-i\delta x^\nu \partial_\nu \alpha(x)) \\ &= 1 - ie\delta x^\mu A_\mu - i\delta x^\nu \partial_\nu \alpha(x) \end{aligned}$$

$\Rightarrow A_\mu \rightarrow A_\mu + \frac{1}{e}\partial_\mu \alpha$ , that's how  $A_\mu$  trans under this gauge trans.  $W(x,y)$  is called 'Wilson line'.  
 $D_\mu \phi = \partial_\mu \phi - ieA_\mu \phi(x)$ ,  $A_\mu$  seems like a connection like Christoffel symbol (with only 1 index).

Closed form of  $W(x,y)$  can be written:  $W(x,y) = \exp(ie \int_\gamma A_\mu dz^\mu)$ , the integral along the path:  $P: x \rightarrow y$ .

parameterize the path by  $z^\mu(\lambda)$ ,  $\lambda=0$  for  $x$  and  $\lambda=1$  for  $y$ .  $W(x,y) = \exp(ie \int_0^1 A_\mu(z(\lambda)) \frac{dz^\mu}{d\lambda} d\lambda)$

A special case is that  $P: x \rightarrow x$  as a contour, then  $W(x,y) \rightarrow W_P^{\text{loop}} = \exp(ie \oint A_\mu dx^\mu)$ , named 'Wilson loop'.

By Stokes Theorem, we know  $\int_{\partial \Sigma} w = \int_{\Sigma} dw$ , in Abelian gauge theory,  $[A_\mu, A_\nu] = 0$ , thus  $F = \frac{1}{2}F_{\mu\nu} dx^\mu \wedge dx^\nu$  (curvature 2-form)

is just the differential of  $A = A_\mu dx^\mu$  (connection 1-form). So:  $W_P^{\text{loop}} = \exp(ie \int_{\Sigma} F_{\mu\nu} d^2x^{\mu\nu})$ , surface  $\Sigma$  is what  $P$  bounds.

$D_\mu$  is now the covariant derivative, so  $[D_\mu, D_\nu]$  is still a derivative (but not 2-nd order derivative).

$$\begin{aligned} [D_\mu, D_\nu]\phi(x) &= (\underbrace{[\partial_\mu, \partial_\nu]}_{=0}\phi(x) - e^2 \underbrace{[A_\mu, A_\nu]}_{=0}\phi(x) - ie[\partial_\mu, A_\nu]\phi(x) + ie[A_\mu, \partial_\nu]\phi(x)) \\ &= ie[\partial_\mu A_\nu - A_\mu \partial_\nu + A_\nu \partial_\mu - \partial_\nu A_\mu] \\ &= -2ie(\partial_\mu A_\nu - \partial_\nu A_\mu)\phi(x). \text{ we redefined } F = F_{\mu\nu} dx^\mu \wedge dx^\nu = \frac{1}{2}F^{\mu\nu} dx^\mu \wedge dx^\nu \\ &= -ieF_{\mu\nu}\phi(x). \text{ 2 is just a constant.} \end{aligned}$$

This implies  $F_{\mu\nu} = \frac{1}{e}[D_\mu, D_\nu]$  is just the field strength 2-form.

## 3. Non-Abelian Gauge.

For example, we consider  $N$  Dirac-Fermions Lagrangian:  $\mathcal{L} = \sum_{j=1}^N \bar{\psi}_j(i\partial - m)\psi_j$

under a global  $SU(N)$  symmetry,  $\psi_j \rightarrow (e^{i\alpha^a T^a})_{ij}\psi_j$ ,  $T^a$  are  $SU(N)$  generators in fund. repr.

Wilson line must be written as:  $W_P(x,y) = P \{ \exp(i\int_\gamma A_\mu^a T^a dz^\mu) \}$ ,  $P\{\dots\}$  is the path-ordering operator to encounter  $[A_\mu^a, A_\nu^b] \neq 0$ .

Explicitly:  $W_P(x,y) = 1 + ig \int_0^1 A_\mu^a(z(\lambda)) T^a \frac{dz^\mu}{d\lambda} d\lambda - \frac{1}{2}g^2 \int_0^1 d\lambda \int_0^1 d\tau A_\mu^a(z(\lambda)) A_\nu^b(z(\tau)) [T^a T^b \theta(\lambda-\tau) + T^b T^a \theta(\tau-\lambda)] \frac{dz^\mu}{d\lambda} \frac{dz^\nu}{d\tau} + \dots$

Still we have:  $W_P(x,y) \rightarrow e^{i\alpha^a T^a} W_P(x,y) e^{-i\alpha^a T^a}$  actually, while for  $SU(N)$ , generators are Hermitian.

in non-Abelian gauge theory, we take  $A_\mu = A_\mu^a T^a$ , the 1-form:  $A = A_\mu dx^\mu$ ,  $W_P(x,y) = P \{ \exp(i\int_\gamma A_\mu dz^\mu) \}$ .

infinitesimal expansion:  $W(x, x+\delta x) = 1 - ig A_\mu \delta x^\mu$ .

Now, consider  $U(x) = e^{i\alpha^a T^a} \in SU(N)$ , this implies a local  $SU(N)$  gauge trans:  $\vec{\psi}(x) \rightarrow U(x)\vec{\psi}(x)$ .

for covariate derivative  $D_\mu: D_\mu \vec{\psi}(x) \rightarrow U(x) D_\mu \vec{\psi}(x) = D'_\mu U(x)\vec{\psi}(x)$ , this equation implies the equivalence of initiative and passive viewpoint of a certain transformation.  $U D_\mu \vec{\psi}$  is the former while  $D'_\mu(U\vec{\psi})$  is the latter.

$$\Rightarrow U(\partial_\mu - ig A_\mu)\vec{\psi} = (\partial_\mu - ig A'_\mu)(U\vec{\psi})$$

$$\Rightarrow -ig U A_\mu = \partial_\mu U - ig A'_\mu U, \text{ thus we know } A'_\mu = U A_\mu U^\dagger - \frac{i}{g}(\partial_\mu U)U^\dagger$$

$$\Leftrightarrow A'_\mu = A_\mu + \frac{1}{g}\partial_\mu \alpha^a - f^{abc}\alpha^b A_\mu^c, \text{ (}\alpha^a\text{'s dropped).}$$

for Lie-bracket:  $[D_\mu, D_\nu]\vec{\psi}(x) = (-ig(\partial_\mu A_\nu - \partial_\nu A_\mu) - g^2[A_\mu, A_\nu])\vec{\psi}(x)$ .

then we define field strength:  $F_{\mu\nu} = \frac{1}{g}[D_\mu, D_\nu] = (\partial_\mu A_\nu - \partial_\nu A_\mu) - ig[A_\mu, A_\nu]$  for general non-Abelian case.

$$\Leftrightarrow F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + gf^{abc}A_\mu^b A_\nu^c, \text{ and } F_{\mu\nu}^a \text{ trans by: } F_{\mu\nu}^a \rightarrow F_{\mu\nu}^a - f^{abc}\alpha^b F_{\mu\nu}^c, \text{ all these } -f^{abc}\alpha^b A^c \text{ term comes from extracting } A^a \text{ from } A^a T^a \text{ term.}$$

Remember  $[D_\mu, D_\nu] \rightarrow U[D_\mu, D_\nu]$ , the same as  $A_\mu \rightarrow U A_\mu$ .

## 4. Lagrangian and physics.

#### 4. Lagrangian and physics.

First we take locally  $SU(N)$  invariant Lagrangian:  $\mathcal{L} = -\frac{1}{4} F_{\mu\nu}^a F^{\mu\nu a} + \frac{1}{2} \bar{\psi}_i (\not{\partial} \psi_i + g A_{ij}^a T_{ij}^a - m \delta_{ij}) \psi_j$ .

explicitly:  $\mathcal{L} = -\frac{1}{4} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c)^2 + \frac{1}{2} \bar{\psi}_i (\not{\partial} \psi_i + g A_{ij}^a T_{ij}^a - m \delta_{ij}) \psi_j$   
 $\Rightarrow \begin{cases} \partial_\mu F^{\mu\nu a} + g f^{abc} A_\mu^b F^{\mu\nu c} = -g \bar{\psi}_i \gamma^\mu T_{ij}^a \psi_j & \text{EoM for gauge field } A_\mu^a \\ (i\not{\partial} - m) \psi_i = -g A_{ij}^a T_{ij}^a \psi_j & \text{EoM for spinor.} \end{cases}$

Why don't we use  $F_{\mu\nu} = D_\mu A_\nu - D_\nu A_\mu$  to construct  $F$ ?  
 Because  $D_\mu$  covariant is for  $\phi \rightarrow e^{i\alpha^a} \phi$ , but not for  $A_\mu$ .

for global gauge trans:  $\begin{cases} \psi_i \rightarrow \psi_i + i\alpha^a T_{ij}^a \psi_j \\ A_\mu^a \rightarrow A_\mu^a - f^{abc} \alpha^b A_\mu^c \end{cases} \Leftrightarrow \bar{\psi}_i \rightarrow \bar{\psi}_i - i\alpha^a \bar{\psi}_j T_{ji}^a$ . In non-Abelian case, we get  $N^2 - 1$  currents, one for each  $\alpha^a$ .

Noether's Theorem claims  $J^\mu = \sum_{\text{fields}} \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \frac{\delta \phi}{\delta \alpha^a}$  is a conserved current, now we get:  $J^{\mu a} = \sum_{\psi} \frac{\partial \mathcal{L}}{\partial (\partial_\mu \psi)} \frac{\delta \psi}{\delta \alpha^a} + \sum_{A} \frac{\partial \mathcal{L}}{\partial (\partial_\mu A)} \frac{\delta A}{\delta \alpha^a}$   
 $= f^{abc} A_\nu^b F^{\mu\nu c} - \bar{\psi}_i \gamma^\mu T_{ij}^a \psi_j$

Separate  $J^{\mu a}$  to  $K^{\mu a} = f^{abc} A_\nu^b F^{\mu\nu c}$ ,  $j^{\mu a} = -\bar{\psi}_i \gamma^\mu T_{ij}^a \psi_j$ . Apparently,  $\partial_\mu J^{\mu a} = 0$ , but  $\partial_\mu K^{\mu a} \neq 0$ ,  $\partial_\mu j^{\mu a} \neq 0$ .  
 We can formally define conserved current/charge, but they are not gauge invariant or gauge covariant.

Actually, we have Weinberg-Witten Theorem:

Weinberg-Witten Theorem:

1. global non-Abelian symmetry + charged massless spin-1  $\nrightarrow$  gauge invariant conserved charge.
2. conserved & Lorentz-covariant energy-momentum tensor  $\nrightarrow$  massless spin-2

But something important: W-W Theorem assumes fixed space-time dimension, so it doesn't forbid string theory and AdS/CFT to build gravity in separated dimension of space-time.

#### 4. Feynman Rules for YM Theory:

Now we completely write down the  $SU(N)$  invariant Lagrangian with non-Abelian gauge fields:

$$\mathcal{L} = \underbrace{-\frac{1}{4} F_{\mu\nu}^a F^{\mu\nu a}}_{\text{non-Abelian fields}} - \underbrace{\frac{1}{2\xi} (\partial^\mu A_\mu^a)^2}_{\text{R-}\xi \text{ gauge term}} + \underbrace{(\partial^\mu \bar{c}^a)(\not{\partial} c^a + f^{abc} A_\mu^b c^c)}_{\text{Faddeev-Popov ghosts}} + \underbrace{\bar{\psi}_i (i\not{\partial} - m) \psi_i}_{\text{Fermion-gauge interaction}} + \underbrace{[\bar{\psi}_i g A_{ij}^a T_{ij}^a \psi_j]}_{\text{including boson-gauge interaction}} + \underbrace{[\bar{\psi}_i g A_{ij}^a T_{ij}^a \psi_j]^*}_{\text{including boson-gauge interaction}} + \underbrace{[\bar{\psi}_i g A_{ij}^a T_{ij}^a \psi_j]}_{\text{including boson-gauge interaction}} - M^2 \bar{\phi}_i \phi_i$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f^{abc} A_\mu^b A_\nu^c$$

Kinetic terms:  $\mathcal{L}_{kin} = -\frac{1}{4} (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a)^2 - \frac{1}{2\xi} (\partial^\mu A_\mu^a)^2 + \bar{\psi}_i (i\not{\partial} - m) \psi_i - \bar{c}^a \square c^a$

$\Rightarrow$  propagator:

- $v, b \xrightarrow{p} \mu, a = \frac{-i g^{\mu\nu}}{p^2 + i\epsilon} \delta^{ab}$  (ξ=1). gauge boson propagator (e.g. gluons).
- $b \xrightarrow{p} a = \frac{i \delta^{ab}}{p^2 + i\epsilon}$  ghost propagators
- $j \xrightarrow{p} i = \frac{i \delta^{ij}}{p^2 - m + i\epsilon}$  colored fermions propagators.
- $j \xrightarrow{p} i = \frac{i \delta^{ij}}{p^2 - M^2 + i\epsilon}$  colored bosons propagators

Interaction terms:  $\mathcal{L}_{int} = -g f^{abc} (\partial_\mu A_\nu^a) A_\mu^b A_\nu^c - \frac{1}{2} g^2 (f^{abc} A_\mu^b A_\nu^c) (f^{ade} A_\mu^d A_\nu^e) + g f^{abc} (\partial^\mu \bar{c}^a) A_\mu^b c^c + g A_\mu^a \bar{\psi}_i \gamma^\mu T_{ij}^a \psi_j + i g A_\mu^a T_{ij}^a (\bar{\psi}_i \partial_\mu \psi_j - \partial_\mu \bar{\psi}_i \psi_j) + g^2 \bar{\phi}_i A_\mu^a T_{ik}^a T_{kj}^a \phi_j$

$\Rightarrow$  vertex:  $v, b \xrightarrow{p} \mu, a$   $\begin{matrix} \mu, a \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} \begin{matrix} \nu, b \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} = g f^{abc} [g^{\mu\nu} (k-p)^\rho + g^{\rho\nu} (p-q)^\mu + g^{\rho\mu} (q-k)^\nu]$ ,  $p+k+q=0$ .

$\begin{matrix} \mu, a \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} \begin{matrix} \nu, b \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} = -ig^2 [f^{abc} f^{cde} (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\rho} g^{\nu\sigma}) + f^{ace} f^{bde} (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\rho} g^{\nu\sigma}) + f^{ade} f^{bce} (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\rho} g^{\nu\sigma})]$

$\begin{matrix} \mu, a \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} \begin{matrix} \nu, b \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} = -g f^{abc} p^\mu$

$\begin{matrix} \mu, a \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} \begin{matrix} \nu, b \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} = ig(k^\mu + q^\mu) T_{ij}^a$

$\begin{matrix} \mu, a \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} \begin{matrix} \nu, b \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} = ig^2 T_{ik}^a T_{kj}^a g^{\mu\nu}$

$\begin{matrix} \mu, a \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} \begin{matrix} \nu, b \\ \swarrow \quad \searrow \\ p \quad q \end{matrix} = ig \gamma^\mu T_{ij}^a$