

# Chapter 8 Spontaneous symmetry breaking and Weinberg-Salam model

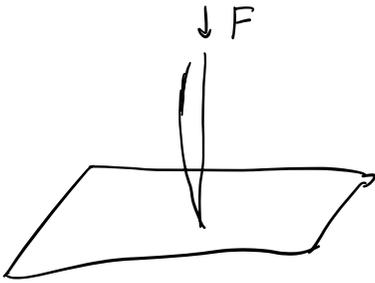
Nambu Goldstone

1964 Higgs

Weinberg Salam  $SU(2) \times U(1)$

t Hooft

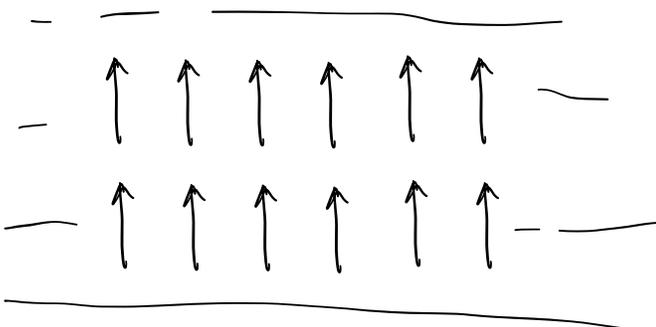
8.1 Vacuum?



- (i) 某个参数具有临界值 (这里是  $F$ )
- (ii) 对称性构造会不稳定
- (iii) 基态简并

Ferromagnetism

$$H = - \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$$



高温下, 原子取向随机

参数:  $T$

铁磁体无限大  $\xrightarrow{\text{类似}}$  场论 ( $f \rightarrow \text{infinity}$ )

Scalar field:  $\phi^\mu$  theory:

$$\begin{aligned}
 \mathcal{L} &= (\partial_\mu \phi)(\partial^\mu \phi^*) - m^2 \phi^* \phi - \lambda (\phi^* \phi)^2 \\
 &= (\partial_\mu \phi)(\partial^\mu \phi^*) - V(\phi, \phi^*) \quad \rightarrow \text{self-Interaction} \quad (8.1)
 \end{aligned}$$

$\mathcal{L}$  规范变换下不变

$$\phi \rightarrow e^{i\Lambda} \phi \quad (\Lambda \text{ 为常数}) \quad (8.2)$$

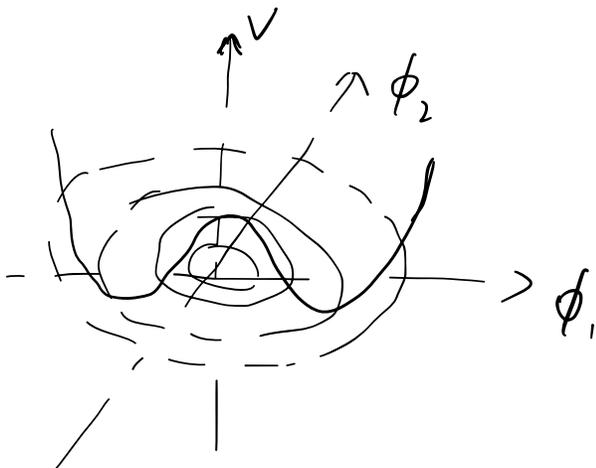
$V$  极小值  $\rightarrow$  基态

$$\frac{\partial V}{\partial \phi} = m^2 \phi^* + 2\lambda \phi^* (\phi^* \phi) \quad (8.3)$$

若  $m^2 > 0$ ,  $V_{\text{min}}$  出现在  $\phi^* = \phi = 0$ , 若  $m^2 < 0$ , 会有局域极大值 ( $\phi = 0$ ), 有极小值

$$|\phi|^2 = -\frac{m^2}{2\lambda} = a^2$$

$$\underline{|\phi| = a} \quad \text{Quantum theory: } |\langle \phi | 0 \rangle|^2 = a^2$$



$$\phi(x) = \underbrace{f(x)} e^{i\theta(x)}$$

选择:  $\langle 0 | \phi | 0 \rangle = a$  为真空态

$$\Rightarrow \langle 0 | p | 0 \rangle = a \quad \langle 0 | \theta | 0 \rangle = 0$$

$$\phi(x) = [p'(x) + a] e^{i\theta(x)} \quad (8.9)$$

$p'$  与  $\theta$  真空态都消失 “物理”场

$$\begin{aligned} V &= m^2 p'^2 + 2m^2 a p' + m^2 a^2 + \lambda (p'^4 + 4a p'^3 + 6a^2 p'^2 + 4a^3 p' + a^4) \\ &= \lambda (\underbrace{\phi^* \phi - a^2}^2) - \lambda a^4 \end{aligned}$$

$$(\partial_\mu \phi) (\partial^\mu \phi^*) = (\partial_\mu p') (\partial^\mu p') + (p' + a) (\partial_\mu \theta) (\partial^\mu \theta)$$

$$\mathcal{L} = \dots$$

$\underbrace{p'^2}$  存在, 被赋予质量  
 $m_{p'} = 4\lambda a^2$

$\theta$  存在  $\Rightarrow \theta$  为 “无质量” 场

$\theta$ , 波长  $\lambda$ ,  $\omega \rightarrow 0, \lambda \rightarrow \infty$   $\omega \propto \frac{1}{\lambda}$ , Exp 在相对论下, 无静质量

$\theta$  粒子被称为 Goldstone 玻色子

存在

Important: (连续) 对称性自发破缺会导致无质量粒子, 即 Goldstone 玻色子

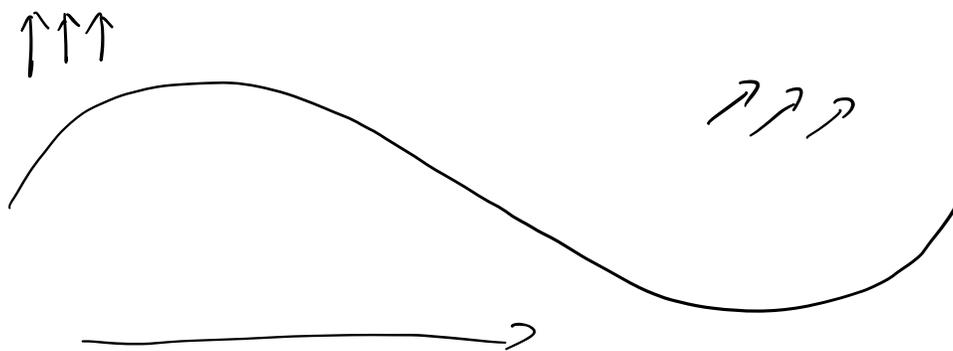
$$\phi(x) = a + \frac{1}{\sqrt{2}} (\phi_1(x) + i\phi_2(x)) \quad (8.10)$$

$$\langle \phi_1 \rangle_0 = 0, \langle \phi_2 \rangle_0 = 0$$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi_1)^2 + \frac{1}{2}(\partial_\mu \phi_2)^2 - 2\lambda a^2 \phi_1^2 - \sqrt{2}\lambda \phi_1(\phi_1^2 + \phi_2^2) - \frac{\lambda}{4}(\phi_1^2 + \phi_2^2)^2 \quad (8.11)$$

$\phi_2$  场无质量,  $\phi_1$  场有  $m^2 = 4\lambda a^2$

“自旋波”



$$w = ck$$

若有长程力 (如库仑力) / 规范场

$w \rightarrow$  有限 时,  $\lambda \rightarrow \infty, k \rightarrow 0$

光子也会这样

预测: 无质量粒子 (自旋为 1) 的规范粒子, 自旋为 0 的 Goldstone 破电子

§2 Goldstone 定理:

$\mathcal{L}_{aj}$ :  $U(1)$  对称性

Q1:  $\mathcal{L}$  在对称群  $G$  下不变时, 会有多少 Goldstone 破电子?

Q2: 这一切在量子理论中的 status 如何? 特别是如何证明给定角并真空态下存在无质量粒子?

$\phi_i (i=1,2,3)$  是 Lorentz 标架下同位旋矢量场

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi_i \partial^\mu \phi_i - \frac{m^2}{2} \phi_i \phi_i - \frac{\lambda}{4} (\phi_i \phi_i)^2 \quad (8.12)$$

$\mathcal{L}$  在同位旋空间下旋转不变。旋转操作构成对称群  $G: (SO(3))$

$$G: \phi_i \rightarrow e^{iQ_k \alpha_k} \phi_i, e^{-iQ_k \alpha_k} = (e^{-iT_k \alpha_k})_{ij} \phi_j = U_{ij} \phi_j = [U(\eta)] \phi_i \quad (8.13)$$

$\alpha_i$  是同位旋空间下转角,  $Q_i$  为群生成元,  $T_i$  是一组满足群 Lie 代数矩阵, 维度与群表示相同 (3 维),  $U(\eta)$  为酉矩阵, 对应着群元 ( $T$  为厄米矩阵)

定义  $V$ :

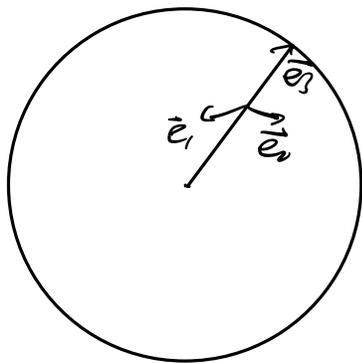
$$V = \frac{m^2}{2} \phi_i \phi_i + \lambda (\phi_i \phi_i)^2$$

$m^2 > 0, \phi_i = 0, m^2 < 0$ , 有

$$|\phi_0| = (\phi_1^2 + \phi_2^2 + \phi_3^2)^{1/2} = \left(-\frac{m^2}{4\lambda}\right)^{1/2} \equiv a$$

取  $\phi_0 = a \hat{e}_3$  作为真空态

$\phi_0$  指向为同位旋空间中  $z$  轴指向



$\phi_0$  在  $G$  下不是不变量, 即  $g \in G$ ,

$$G: \phi'_0 = U(\eta) \phi_0 \neq \phi_0; \quad (8.17)$$

但是在  $G$  的群元  $H$  中,  $\phi_0$  是旋转不变量, 即

$$H: \phi'_0 = U(h) \phi_0 = \phi_0$$

$$U(h) = e^{iT_3 \alpha_3}$$

在群G下,  $V$  是不变量

$$V(\phi') = V(\phi) \quad \phi' = U(g)\phi$$

令  $\phi_0 = \chi + a$

‘物理场’:  $\phi_1, \phi_2, \chi$

$$V = \frac{m^2}{2} [\phi_1^2 + \phi_2^2 + (\chi + a)^2] + \lambda [\phi_1^2 + \phi_2^2 + (\chi + a)^2]^2$$
$$= \lambda [(\phi_1 \phi_1 - a^2)^2 - a^4]$$

$\chi$  具有二波根, 故

$$m_\chi^2 = 8a^2\lambda \quad m_{\phi_1} = m_{\phi_2} = 0$$

证明:  $V(\phi)$  在极值处展开

$$\left. \frac{\partial V}{\partial \phi_a} \right|_{\phi=\phi_0} = 0$$

$$V = V(\phi_0) + \frac{1}{2} \left( \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} \right)_{\phi=\phi_0} \chi_i \chi_j + O(\chi^3) \quad (8.23)$$

其中  $\chi(x) = \phi(x) - \phi_0$

质量矩阵:

$$M_{ij} = \left( \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} \right)_{\phi=\phi_0} \neq 0 \quad (8.24)$$

$V(\phi_0)$  是  $\nearrow$

作群变换

$$V(\phi_0) = V(U(g)\phi_0) = V(\phi_0) + \frac{1}{2} \left( \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} \right)_{\phi_0} \delta\phi_i \delta\phi_j + \dots$$

因此,

$$\left( \frac{\partial^2 V}{\partial \phi_i \partial \phi_j} \right)_{\phi_0} \delta\phi_i \delta\phi_j = 0$$

$\delta\phi_i$  为  $\phi$  变换后的变分

$\phi$  是否属于  $H$ ?

是:  $\phi_0 = \phi, \delta\phi_i = 0$

$$\delta\phi_i = \left( \frac{\partial\phi}{\partial\alpha_3} \right)_{\alpha_3=0} \phi_0 \delta\alpha_3 = 0 \quad (8.26)$$

$$\text{否: } \delta\phi_m = \left[ \left( \frac{\partial\phi}{\partial\alpha_i} \right)_{\alpha_i=0} \phi_0 \right]_m \delta\alpha_i \neq 0 \quad (8.27)$$

$$M_{ij} [U'(0)\phi_0]_j = 0$$

$U'(0)\phi_0$  恒为 0. 这些都是 Goldstone 玻色子.

$$H = \text{SO}(2) \sim U(1) \quad (\text{或 } T_3)$$

$$G/H$$

应用对称性不会自发破缺的情况.

$$H = G$$

$$G/H = G$$

$\phi(x)$  具有  $\langle \phi(x) | \phi(x) \rangle \neq 0$

$$j_m^a(x) = \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi)} \frac{\delta\phi(x)}{\delta\alpha^a}$$

无散度  $\Rightarrow \partial^\mu j_m^a = 0$

$$Q^a = \int d^3x j_0^a(x) \quad (8.28)$$

守恒.

$$\frac{dQ^a}{dt} = 0$$

$$[Q^a, Q^b] = \underbrace{C^{abc}} Q^c$$

$$U = e^{iQ^a \alpha^a} \quad (8.29)$$

若  $U|0\rangle = |0\rangle$ , 那么

$$Q^a|0\rangle = 0$$

若无此情况, 有“解真空态”:

$$U|0\rangle = |0\rangle' = |0\rangle \text{ or } Q^a|0\rangle \neq 0$$

不是真空态. 从

$\phi(x)$  有  $\phi'(x)$

$$[Q^a, \phi'(x)] = \phi(x)$$

$$\langle 0|\phi(x)|0\rangle \neq 0$$

$$\langle 0|[Q^a, \phi'(x)]|0\rangle \neq 0 \quad (8.32)$$

$$\sum_n \int d^3y [\langle 0|j_0^a(y)|n\rangle \langle n|\phi'(x)|0\rangle - \langle 0|\phi'(x)|n\rangle \langle n|\tilde{j}_0^a(y)|0\rangle] |_{x^0=y^0} \neq 0 \quad (8.33)$$

$$\tilde{j}_0^a(y) = e^{iP_0 y} j_0^a(0) e^{-iP_0 y}$$

$$\sum_n \int d^3y [\langle 0|j_0^a(y)|n\rangle \langle n|\phi'(x)|0\rangle - \langle 0|\phi'(x)|n\rangle \langle n|\tilde{j}_0^a(y)|0\rangle] |_{x^0=y^0} \neq 0$$

$$= (2\pi)^3 \sum_n \delta^3(p_n) [\langle 0|j_0^a(\omega)|n\rangle \langle n|\phi'(x)|0\rangle e^{iP_n y_0} - \langle 0|\phi'(x)|n\rangle \langle n|j_0^a(\omega)|0\rangle e^{-iP_n y_0}] |_{x^0=y^0}$$

$$= (2\pi)^3 \sum_n \delta^3(p_n) [\langle 0|j_0^a(\omega)|n\rangle \langle n|\phi'(x)|0\rangle e^{iM_n y_0} - \langle 0|\phi'(x)|n\rangle \langle n|j_0^a(\omega)|0\rangle e^{-iM_n y_0}] |_{x^0=y^0}$$

$$\neq 0 \quad (8.34)$$

$$(|n\rangle = |0\rangle)$$

$$\partial^\mu j_\mu^a(y) = \partial_0 j_0^a(y) + \nabla \cdot \vec{j}^a(y) = 0$$

$$\frac{\partial}{\partial y_0} \int d^3y j_0^a(y) = - \int d^3y \nabla \cdot \vec{j}^a(y)$$

$$\begin{aligned} \frac{\partial}{\partial y_0} \langle 0 | [Q^a, \phi(x)] | 0 \rangle &= \frac{\partial}{\partial y_0} \int d^3y \langle 0 | [j_0^a(y), \phi(x)] | 0 \rangle \\ &= - \int d^3y \langle 0 | [\nabla \cdot \vec{j}^a(y), \phi(x)] | 0 \rangle \\ &= - \int d\vec{S} \cdot \langle 0 | \vec{j}^a(y), \phi(x) | 0 \rangle \end{aligned}$$

(Guralnik, Hagen & Kibble in Cosl & Manshock 1968)

partially conserved axial current